## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:	Danny Shum, et al.	)	Examiner:
		)	Unknown
Serial No.:	TBA	)	A-1
		)	Art Unit:
Filed:	Herewith	) .	Unknown
For:	ONE TRANSISTOR FLASH MEMORY CELL	) )	

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §1.97 - §1.98, Applicants hereby bring to the attention of the United States Patent and Trademark Office, the reference listed on the attached Form PTO-1449.

Respectfully submitted,

Date

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Substitute for form 1449A/PTO		Complete if Known				
		D1001		Application Number	ТВА	
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STA	TEMENT B'	Y APF	PLICANT	First Named Inventor	Danny Shum	
				Art Unit	Unknown	
(use as many sheets as necessary)		essary)	Examiner Name	Not Yet Assigned		
Sheet	1	of	2	Attorney Docket Number	03P50072US/90035.000230	

			U.S. PAT	ENT DOCUMENTS	· ·
Examiner nitials	Cite No.1	Document Number  Number Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	(if known) US- 5635415	06-03-1997	Hong	
	2	US- 6307781	10-23-2001	Shum	
	3	US- 6327182	12-04-2001	<del></del>	
	4			Shum, et al.	
	4 .	US- 6438030 US-	08-20-2002	Hu, et al.	·
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Examiner Initials	Cite No.1	Office <sup>3</sup>	Foreign Patent Doo Number <sup>4</sup>	cument Kind (if kno		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optior.al). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
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Substitu	ite for form 1449B/PTO		Complete if Known			
	Substitute for form 1449B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)  Sheet 2 of 2	* .	Application Number	ТВА		
INFO	DRMATION	DISCLOSURE	Filing Date	Filed Herewith		
STA	TEMENT E	Y APPLICANT	First Named Inventor	Danny Shum		
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	(use as many sl	neets as necessary)	Examiner Name	Not Yet Assigned		
Sheet	2	of 2	Attorney Docket Number	03P50072US/90035.000230		

xaminer nitials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ţ
1 - 2	6	CHI-NAN BRIAN LI et al., "A Novel Uniform-Channel-Program-Erase (UCPE) Flash EEPROM Using an Isolated P-well Structure", IEEE, 2000 (April 2000) 0-7803-6441-4/00.	
	7	EVANS CHING-SONG YANG, et al., "Novel Bi-Directional Tunneling Program/Erase NOR(BiNOR)-Type Flash EEPROM", IEEE 1999, 0018-9383/99, Voil 46, No. 6, June 1999, pages 1294 - 1296.	
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.